

Customer Profile Questionnaire

Name : (Chinese) _____ (English) _____ (Mr./ Ms/ Mrs.)

Tel. No. : _____ Email address : _____

Address : _____

Age : 20- 29 30 - 39 40 - 49 50 or above

Education Level : Primary Level Secondary Level Post secondary University Post-graduate

Occupation : Professional / Executive / Employer Blue collar Retired House-wife Others _____ (pls specify)

1. Are you existing(?) Sun Hung Kai Financial client?

Yes No

2. Which financial product (s) that you are holding? (can pick more than 1 product)

Securities Futures Unit Trusts/Mutual Funds(?) Unit Links Bonds
 Foreign Exchange Bullion Commodities Insurance Others _____ (pls specify)

3. Which financial product (s) / service you are interested in? (can pick more than 1 product)

Securities Futures Unit Trusts/Mutual Funds(?) Unit Links Bonds
 Foreign Exchange Bullion Commodities Insurance Others _____ (pls specify)

4. Which channel of securities trading you prefer? (can pick more than 1 channel)

Account Executive Online Trading Branch Network IVRS

5. Your investment experience

Less than 6 months 6 months – 1 year 1 – 3 years 3 – 5 years 5 – 10 years 10 years or above

6. Your monthly personal income (HK\$)

Less than \$20,000 \$20,000 - \$49,999 \$50,000 - \$74,999 \$75,000 - \$99,999
 \$100,000 - \$499,999 \$500,000 or above

7. Your total investment amount (HK\$)

Less than \$50,000 \$50,000 - \$99,999 \$100,000 - \$299,999 \$300,000 - \$499,999
 \$500,000 - \$999,999 \$1,000,000 or above

8. Risk Tolerance

Aggressive Moderate Conservative

Acknowledgement: I hereby acknowledge and expressly consent that Sun Hung Kai Financial group of companies can use my personal data provided herein to contact me by whatever means (including telephone call and visit in person).

Important: The information you provide above is collected to enable Sun Hung Kai Financial group of companies (“SHK”) to carry on marketing or related activities. You hereby give SHK permission to use all kinds of communication method (including mails, emails and telephone, etc) to contact you for such activities. You have the right to obtain access to and to request correction of any personal information concerning the above held by SHK and can make request for such access or correction to customer service. (Customer Service Hotline: 2822 5001 / Fax: 3920 2789)

Respondent's Signature : _____

Date : _____

